# Reflectance imaging at superficial depths in strongly scattering media

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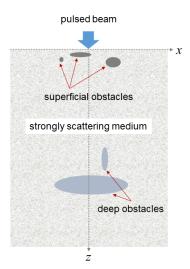
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# Motivation

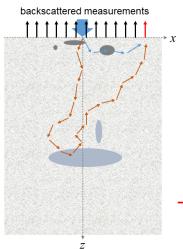
- Imaging in multiple scattering media is important for several different applications, *e.g.* biomedical optics, geophysical remote sensing through clouds, fog, rain, the ocean, etc.
- Strong multiple scattering causes image blurring and makes the inverse problem severely ill-posed.
- There are important problems involving reflectance imaging and spectroscopy at superficial depths, *e.g.* site-specific screening of pre-cancer in epithelial tissues.
- Another way to think of this problem is near-field imaging in strongly scattering media.

# Imaging problem

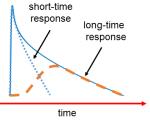


A pulsed beam illuminates the surface of a half space composed of a strongly scattering medium containing superficial and deep obstacles.

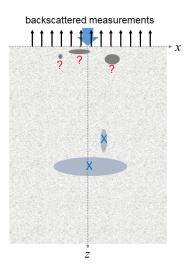
# Imaging problem



We take time dependent measurements of the light backscattered by this medium on the boundary.



# Imaging problem

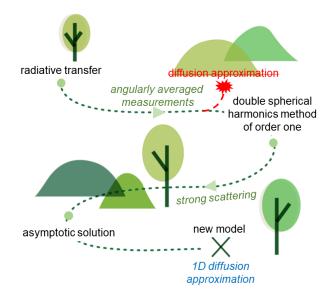


Given these time dependent measurements, we seek to recover *only* the superficial obstacles in the medium.

#### Challenges

- Majority of backscattered light is diffuse and obscures the obstacles.
- Standard models based on the diffusion approximation are not accurate near sources or boundaries.
- Need a "better" model for this problem.

# Modeling roadmap



#### **Radiative transfer theory**

- Developed in the early 20th century to describe light scattering by planetary atmospheres.
- It takes into account scattering and absorption by inhomogeneities.
- This theory assumes no phase coherence in its description of power transport (addition of power).
- The specific intensity I(Ω, r, t) quantifies the power flowing in direction Ω, at position r, and at time t.

#### The radiative transfer equation (RTE)

$$c^{-1}\partial_t I + \mathbf{\Omega} \cdot \nabla I + \mu_a I + \mu_s \underbrace{\left[I - \int_{S^2} f(\mathbf{\Omega} \cdot \mathbf{\Omega}') I(\mathbf{\Omega}', \mathbf{r}) \mathrm{d}\mathbf{\Omega}'\right]}_{LI} = 0.$$

- c is the speed of light in the background
- $\mu_a$  is the absorption coefficient
- $\mu_s$  is the scattering coefficient
- ► *f* is the scattering phase function

#### Scattering phase function

The scattering phase function f gives the fraction of light scattered in direction  $\Omega$  due to light incident in direction  $\Omega'$ .

$$I(\mathbf{\Omega}', \mathbf{r}) \longrightarrow f(\mathbf{\Omega} \cdot \mathbf{\Omega}')I(\mathbf{\Omega}', \mathbf{r})$$

The scattering phase function is normalized according to

$$\int_{S^2} f(\mathbf{\Omega} \cdot \mathbf{\Omega}') \mathrm{d}\mathbf{\Omega}' = 1.$$

We introduce the anisotropy factor, g, defined as

$$\int_{S^2} f(\mathbf{\Omega} \cdot \mathbf{\Omega}') \mathbf{\Omega} \cdot \mathbf{\Omega}' \mathrm{d}\mathbf{\Omega}' = g$$

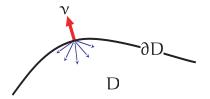
# **Boundary conditions**

To solve

$$c^{-1}\partial_t I + \mathbf{\Omega} \cdot \nabla I + \mu_a I + \mu_s LI = 0$$

in a domain D with boundary  $\partial D$ , we prescribe boundary conditions of the form

 $I = I_b \quad \text{on } \Gamma_{\text{in}} = \{ (\mathbf{\Omega}, \mathbf{r}, t) \in S^2 \times \partial D \times (0, T], \mathbf{\Omega} \cdot \nu < 0 \}.$ 



In other words, we must prescribe the light "going into" the medium from the boundary.

#### Initial-boundary value problem for the RTE

Let  $D = \{z > 0\}$  with  $\partial D = \{z = 0\}$ . Our model for the imaging problem is

$$c^{-1}\partial_t I + \mathbf{\Omega} \cdot \nabla I + \mu_a I + \mu_s LI = 0 \quad \text{in } S^2 \times D \times (0, T],$$
  

$$I|_{z=0} = \delta(\mathbf{\Omega} - \hat{z}) b(x, y) p(t) \quad \text{on } \Gamma_{\text{in}} = \{S^2 \times \partial D \times (0, T], \mathbf{\Omega} \cdot \hat{z} > 0\}$$
  

$$I|_{t=0} = 0 \quad \text{on } S^2 \times D$$
  

$$I \to 0 \quad \text{as } z \to \infty.$$

- ► The boundary condition prescribes a pulsed beam incident normally on the boundary plane, z = 0.
- ► There is no other source of light in the problem.
- Backscattered light corresponds to *I*|<sub>z=0</sub> for directions satisfying Ω · *z* < 0.</p>

#### Measurements

Measurements of backscattered light take the form:

$$R(x, y, t) = -\int_{\mathsf{N}\mathsf{A}} I(\mathbf{\Omega}, x, y, 0, t) \mathbf{\Omega} \cdot \hat{z} \mathrm{d}\mathbf{\Omega},$$

with NA  $\subset$  { $\Omega \cdot \hat{z} < 0$ } denoting the numerical aperture of the detector.

Suppose we measure two or more NAs so that we can recover

$$I_0^- = \frac{1}{\sqrt{2\pi}} \int_{\mathbf{\Omega} \cdot \hat{z} < 0} I(\mathbf{\Omega}, x, y, 0, t) \mathrm{d}\mathbf{\Omega}$$

and

$$I_1^- = -\sqrt{\frac{3}{2\pi}} \int_{\mathbf{\Omega} \cdot \hat{z} < 0} I(\mathbf{\Omega}, x, y, 0, t) \mathbf{\Omega} \cdot \hat{z} \mathrm{d}\mathbf{\Omega}.$$

We take  $I_0^-$  and  $I_1^-$  as our measurements.

# **RTE with angularly averaged measurements**

- The angularly averaged measurements remove useful direction information from backscattered light, *e.g.* direction dependence of the source.
- Solving the full RTE is unnecessarily complicated for this problem if we only measure I<sub>0</sub><sup>−</sup> and I<sub>1</sub><sup>−</sup>.
- Using only these measurements makes the inverse problem for the RTE underdetermined.
- The key is to develop the simplest model for measurements that accurately captures the key features of angularly averaged measurements of backscattered light.

# Diffusion approximation of the RTE

The diffusion approximation assumes that scattering is so strong that

$$I(\mathbf{\Omega}, \mathbf{r}, t) \sim U(\mathbf{r}, t) + \mathbf{\Omega} \cdot [\kappa \nabla U(\mathbf{r}, t)],$$

where U satisfies

$$c^{-1}U_t + \mu_a U - \nabla \cdot (\kappa \nabla U) = 0.$$

Because  $U + \mathbf{\Omega} \cdot (\kappa \nabla U)$  is unable to satisfy boundary condition,

$$I|_{z=0} = \delta(\mathbf{\Omega} - \hat{z})p(t)$$
 on  $\Gamma_{\text{in}}$ ,

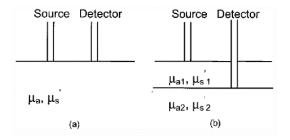
we must introduce an approximate boundary condition.

This approximate boundary condition causes errors that make the diffusion approximation unsuitable near sources and boundaries<sup>\*</sup>.

<sup>\*</sup>Rohde and Kim (2012)

# Making the diffusion approximation work

S.-H. Tseng and A. J. Durkin<sup>†</sup> developed a method to circumvent the problem with using the diffusion approximation.



This innovation was used for a fiber-based probe for diffuse optical spectroscopy in epithelial tissues.

<sup>&</sup>lt;sup>†</sup>S.-H. Tseng et al (2005) [with permission]

# Correcting the diffusion approximation

- The diffusion approximation is a significant simplification over the RTE.
- It is not wrong for this problem. Light that penetrates deep into the strongly scattering medium is diffusive.
- It is just not sophisticated enough.
- ► We could consider the inverse problem for the RTE, but that will require more work than is worthwhile.
- How can we construct a better model?

# **Double-spherical harmonics method**

Since

- Boundary condition prescribes light on  $\{\mathbf{\Omega} \cdot \hat{z} > 0\}$ ,
- Measurements are integrals over  $\{ \mathbf{\Omega} \cdot \hat{z} < 0 \}$ ,

we write

$$I^{\pm}(\mathbf{\Omega},\mathbf{r},t) = I(\pm\mathbf{\Omega},\mathbf{r},t), \quad \mathbf{\Omega} \in S^2_+ = \{\mathbf{\Omega} \cdot \hat{z} > 0\},\$$

and seek both  $I^{\pm}$  as expansions in spherical harmonics,  $\{\tilde{Y}_{nm}\}$ , mapped to the hemisphere,  $S^2_+$ :

$$I^{\pm} = \sum_{n=0}^{\infty} \sum_{m=-n}^{n} \tilde{Y}_{nm}(\mathbf{\Omega}) I_{nm}(\mathbf{r}, t), \quad \mathbf{\Omega} \in S^2_+.$$

By truncating these expansions at n = N, we obtain the double-spherical harmonics approximation of order N ( $DP_N$ ).

#### The *DP*<sub>1</sub> approximation

The simplest approximation is  $DP_1$ :

$$I^{\pm} = \sum_{n=0}^{3} \Phi_{n}(\mu, \varphi) I_{n}^{\pm}(\mathbf{r}, t),$$
  
$$\Phi_{0} = 1/\sqrt{2\pi}, \quad \Phi_{1} = \sqrt{3/2\pi}(2\mu - 1),$$
  
$$\Phi_{2} = \sqrt{3/2\pi}\sqrt{1 - \mu^{2}}\cos\varphi, \quad \Phi_{3} = \sqrt{3/2\pi}\sqrt{1 - \mu^{2}}\sin\varphi.$$

Here,  $\mu = \cos\theta$  denote the cosine of the polar angle, and  $\varphi$  denote the azimuthal angle.

Note that  $I_0^-|_{z=0}$  and  $I_1^-|_{z=0}$  are the measurements.

 $\Phi_{2,3}$  used here are a slight modification to those typically used in the  $DP_1$  approximation.

The  $DP_1$  system

Substituting  $I^{\pm} = \sum_{n=0}^{3} \Phi_n(\mu, \varphi) I_n^{\pm}(\mathbf{r}, t)$ , into the RTE and projecting

onto the finite dimensional subspace, we obtain<sup>‡</sup>

$$\begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix}_{t}^{+} \begin{bmatrix} A & 0 \\ 0 & -A \end{bmatrix} \begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix}_{z}^{+} \begin{bmatrix} B & 0 \\ 0 & B \end{bmatrix} \begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix}_{x}^{+} \begin{bmatrix} C & 0 \\ 0 & C \end{bmatrix} \begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix}_{y}^{+} \\ + \mu_{a} \begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix}^{+} \mu_{s} \left( \begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix}^{-} \begin{bmatrix} S_{1} & S_{2} \\ S_{2} & S_{1} \end{bmatrix} \begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix} \right) = 0,$$

where  $\mathbf{I}^{\pm} = (I_0^{\pm}, I_1^{\pm}, I_2^{\pm}, I_3^{\pm}).$ 

The entries of A, B, and C are known explicitly.

 $S_1$  and  $S_2$  are projections of the scattering phase function onto the finite dimensional subspace. Those are computed numerically.

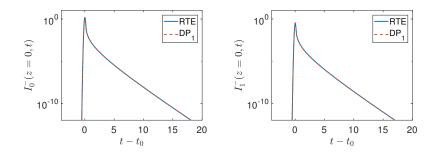
<sup>&</sup>lt;sup>‡</sup>Sandoval and Kim (2015)

#### Solving the *DP*<sub>1</sub> system

- ► The *DP*<sub>1</sub> system is a highly structured, finite dimensional system of forward-backward advection equations.
- ► It is much simpler problem to solve than the RTE.
- It directly models the measurements.
- Provided it is accurate, its use for imaging problems is novel and interesting.
- Even if it is not accurate, it provides the structure of how information is contained in measurements.

#### Validating the *DP*<sub>1</sub> approximation

Numerical results for  $\mu_s = 100$ ,  $\mu_a = 0.01$ , and g = 0.8.



The RTE uses a  $\delta(\mathbf{\Omega} - \hat{z})$  source, and the  $DP_1$  approximation uses the projection of this source onto the finite dimensional basis.

 $DP_1$  has errors at short times (not shown here), but it still accurately captures the qualitative behavior of backscattered light.

#### Strong scattering limit

We introduce  $0 < \epsilon \ll 1$  and write  $\mu_a = \epsilon \alpha$  and  $\mu_s = \epsilon^{-1} \sigma$ . We also introduce the slow time  $\tau = \epsilon t$  so that the  $DP_1$  system is

$$\begin{aligned} \epsilon \begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix}_{\tau} + \begin{bmatrix} A & 0 \\ 0 & -A \end{bmatrix} \begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix}_{z} + \begin{bmatrix} B & 0 \\ 0 & B \end{bmatrix} \begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix}_{x} + \begin{bmatrix} C & 0 \\ 0 & C \end{bmatrix} \begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix}_{y} \\ + \epsilon \alpha \begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix} + \epsilon^{-1} \sigma \left( \begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix} - \begin{bmatrix} S_{1} & S_{2} \\ S_{2} & S_{1} \end{bmatrix} \begin{bmatrix} \mathbf{I}^{+} \\ \mathbf{I}^{-} \end{bmatrix} \right) = \mathbf{0}, \end{aligned}$$

The solution is given as the sum§

$$\begin{bmatrix} \mathbf{I}^+ \\ \mathbf{I}^- \end{bmatrix} = \begin{bmatrix} \mathbf{I}^+_{\text{int}} \\ \mathbf{I}^-_{\text{int}} \end{bmatrix} + \begin{bmatrix} \mathbf{I}^+_{\text{bl}} \\ \mathbf{I}^-_{\text{bl}} \end{bmatrix},$$

with  $I_{\text{int}}^{\pm}$  denoting the interior solution and  $I_{bl}^{\pm}$  denoting the boundary layer solution.

§Larsen and Keller (1973)

#### Interior solution

We find that

$$\begin{bmatrix} \mathbf{I}_{\text{int}}^{+} \\ \mathbf{I}_{\text{int}}^{-} \end{bmatrix} = \begin{bmatrix} \hat{\mathbf{e}}_{1} \\ \hat{\mathbf{e}}_{1} \end{bmatrix} (\rho_{0} + \epsilon \rho_{1}) \\ - \frac{\epsilon}{\sigma(1-g)} \left\{ \begin{bmatrix} \mathbf{a}_{1} \\ -\mathbf{a}_{1} \end{bmatrix} \partial_{z} \rho_{0} + \begin{bmatrix} \mathbf{b}_{1} \\ \mathbf{b}_{1} \end{bmatrix} \partial_{x} \rho_{0} + \begin{bmatrix} \mathbf{c}_{1} \\ \mathbf{c}_{1} \end{bmatrix} \partial_{y} \rho_{0} \right\} + O(\epsilon^{2}),$$

with  $\hat{e}_1 = (1, 0, 0, 0)$ ,  $\mathbf{a}_1 = A\hat{\mathbf{e}}_1$ ,  $\mathbf{b}_1 = B\hat{\mathbf{e}}_1$ , and  $\mathbf{c}_1 = C\hat{\mathbf{e}}_1$ .

The scalar functions,  $\rho_{1,2}$ , satisfy

$$\partial_{\tau}\rho_i + \alpha \rho_i - \nabla \cdot \left(\frac{1}{3\sigma(1-g)}\nabla \rho_i\right) = 0, \quad i = 1, 2.$$

We determine that  $\rho_0|_{\tau=0} = \rho_1|_{\tau=0} = 0$ , but we cannot determine boundary conditions at z = 0.

#### **Boundary layer solution**

We introduce the stretched variable,  $z = \epsilon Z$ . The leading order behavior of the boundary layer solution satisfies

$$\begin{bmatrix} A & 0 \\ 0 & -A \end{bmatrix} \begin{bmatrix} \mathbf{v}^+ \\ \mathbf{v}^- \end{bmatrix}_Z + \sigma \begin{bmatrix} \mathbb{I} - S_1 & -S_2 \\ -S_2 & \mathbb{I} - S_1 \end{bmatrix} \begin{bmatrix} \mathbf{v}^+ \\ \mathbf{v}^- \end{bmatrix} = 0, \quad \text{in } Z > 0$$

subject to boundary condition

$$\mathbf{v}^+|_{Z=0} = \mathbf{I}^+ b(x, y) p(t) - \hat{\mathbf{e}}_1(\rho_0 + \epsilon \rho_1) + \epsilon \frac{1}{\sigma(1-g)} \mathbf{a}_1 \partial_z \rho_0,$$

and asymptotic matching condition

$$\begin{bmatrix} \mathbf{v}^+ \\ \mathbf{v}^- \end{bmatrix} \to 0, \quad Z \to \infty.$$

This boundary layer solution only depends on x, y, and t parametrically.

#### Model for measurements

By requiring asymptotic matching, we find that

$$\rho_0|_{z=0} = \alpha_0 b(x, y) p(t), \quad \rho_1|_{z=0} = \alpha_1 \frac{1}{\sigma(1-g)} \partial_z \rho_0|_{z=0}.$$

From these and solving the boundary layer problem, we find that

$$I_0^-|_{z=0} \sim \beta_0 b(x, y) p(t) + \epsilon \beta_1 (\kappa \partial_z \rho_0)|_{z=0}$$

and

$$I_1^-|_{z=0} \sim \gamma_0 b(x, y) p(t) + \epsilon \gamma_1(\kappa \partial_z \rho_0)|_{z=0}.$$

Here, b(x, y)p(t) is the known source and  $\partial_z \rho_0$  is computed from the diffusion approximation.

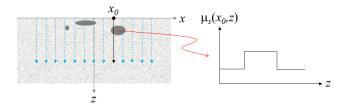
# Interpreting the model

- ► In this model, only  $(\kappa \partial_z \rho_0)|_{z=0}$  contains any information about the obstacles.
- ► The boundary layer problem suggests the following.
  - We can directly image in cross-range by scanning.
  - We can isolate the range recovery as a 1D inverse problem for the diffusion approximation.
- This reduced model effectively teaches us how to properly apply the diffusion approximation for this imaging problem.
- ► We obtain the same results for the full RTE in the strong scattering limit<sup>¶</sup>.

<sup>¶</sup>Rohde and Kim (2017)

# Imaging at superficial depths

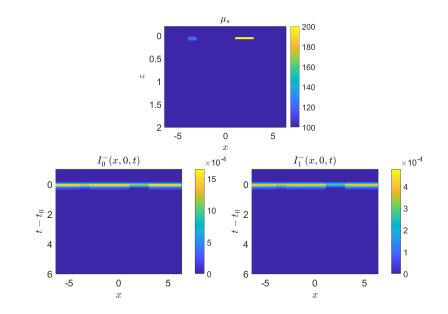
The results suggest that we can image at superficial depths by scanning along cross-range, and reconstructing along range.



The image reconstruction problem becomes finding  $\kappa$  given measurements  $[\kappa(x_0, 0)\partial_z \rho]|_{z=0}$  with  $\rho$  satisfying

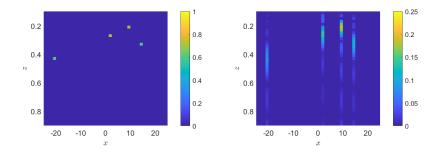
$$\rho_{\tau} + \alpha \rho - \partial_{z} [\kappa(x_{0}, z) \partial_{z} \rho] = 0,$$
  
$$\rho|_{\tau=0} = 0, \quad \rho|_{z=0} = \alpha_{0} b(x_{0}) p(t).$$

# Direct imaging in cross-range



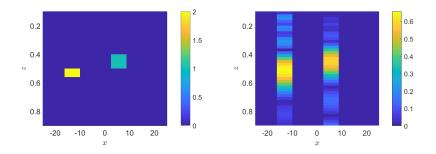
#### Preliminary range reconstruction results

We use a simple  $L_2$ -based inversion method for the following test case.



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The results are promising, and we are seeking better methods to solve this 1D inverse problem.

## Conclusions

- ► We developed a systematic model for backscattered light measurements using the *DP*<sub>1</sub> approximation of the RTE.
- ► The results state that the measurements are linear combinations of the incident pulsed beam, b(x, y)p(t), and the Dirichlet-to-Neumann map of the diffusion equation.
- Boundary layer analysis suggest that imaging at superficial depths only requires direct imaging in cross-range and a 1D reconstruction in range.
- Preliminary results show that this is an efficient method for imaging superficial targets in strongly scattering media.